



:

PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re application

Michael Valentine Agrez et al.

UUI 03 2002

Application No.

10/019,816

Filed

March 27, 2002

TECH CENTER 1600/2900

For

METHOD OF MODULATING

MEDIATED

CELLULAR ACTIVITY AND AGENTS USEFUL FOR SAME

INTEGRIN

Attorney's Docket

SW-046XX

Group Art Unit: Unassigned

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on

Holliday C. Heine, Ph.D. Registration No. 34,346

Attorney for Applicant(s)

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

It is desired to cite for the record in this application the enclosed references listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

(1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached Information Disclosure Statement is being filed within three months of the filing date of the above identified national application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 of the above identified application. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.

Application No. 10/019,816 Filed: March 27, 2002 Group Art Unit: Unassigned

- [X] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [X] (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
  - [X] a statement under 37 CFR § 1.97(e); or
  - [ ] the fee set forth in § 1.17(p).

### PETITION UNDER 37 CFR § 1.97(d)

[ ] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

### STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[X] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

These references were cited in the International Search Report (copy enclosed) which issued in International Application No. PCT/AU00/00729 filed on 28 June 2000. Copies of these references have been transmitted by the International Bureau.

Application No. 10/019,816 Filed: March 27, 2002 Group Art Unit: Unassigned

### STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the attached Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. § 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

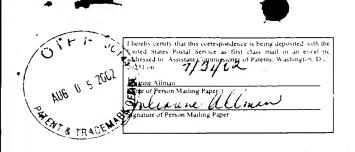
Respectfully submitted, MICHAEL VALENTINE AGREZ ET AL.

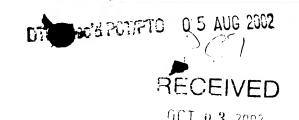
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HCH/ad/276094-1 Enclosure





FECH CENTER 1600/2900 P&G Case AA445

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sec. 3.

In the application of

KAZUYUKI OHNISHI, et al. ':' Confirmation No.

Serial No. 10/148,761 : Group Art Unit

Filed May 31, 2002 : Examiner

For DISPOSABLE GARMENT USING NONWOVEN LAYER FORMED FROM HIGHLY ORIENTED COMPONENT

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made below in a Form PTO/SB08 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

# 1. [] 37 C.F.R. \$1.97 (b)(1) - U.S. Direct (within 3-months of filing a regular application or converted provisional)

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(1), is being filed within three months of the filing date of a national application. Therefore, no fee is believed to be due.

### 2. [X] 37 C.F.R. §1.97 (b)(2) - Via PCT (within 3 mo. of Nat'l Stage Entry)

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(2), is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application. Therefore, no fee is believed to be due.

3. [] 37 C.F.R. §1.97 (b)(3) - (>3 mo. after filing direct or nat'l stage entry, but before 1<sup>st</sup> O.A.)

This information disclosure statement is being submitted under 37 C.F.R. §1.97 (b)(3). Applicants have not received an Office Action on the merits in the present application. Therefore, no fee is believed to be due. However, in the event that this paper is crossing in the mail with a first Office Action on the merits, authorization is hereby given to charge the required fee pursuant to 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) to Deposit Account No. 16-2480 in the name of The Procter & Gamble Company. A duplicate of this letter is enclosed to facilitate charging of the fee, if necessary.

## THE FOLLOWING IS ADDITIONAL INFORMATION PERTAINING TO (2) OR (3) MARKED WITH AN (X) ABOVE.

- (a) The Notification of Acceptance of this Application Under 35 U.S.C. §371 indicates that <u>both</u> a copy of the International Search Report and copies of the references cited therein are present in the national stage file. In accordance with MPEP §1893.03(g), it is respectfully requested that the Examiner note the consideration of these references in the first Office Action via the PTO-892 form.
- (b) [] The Notification of Acceptance of this Application Under 35 U.S.C. §371 indicates that a copy of the International Search Report is present in the national stage file. Copies of the references cited in that report are enclosed.
- (c) [] The Notification of Acceptance of this Application Under 35 U.S.C. §371 does <u>not</u> indicate that a copy of the International Search Report and copies of the references cited are present in the national stage file. Copies of the International Search Report and references are attached.
- 4.  $\begin{bmatrix} 37 & C.F.R. & 1.97 & (b)(4) \end{bmatrix}$  (before the mailing of a first Office Action after the filing of a request for continued examination under §1.114)

This information disclosure statement, submitted under 37 C.F.R. §1.97(b)(4), is being filed with the Request for Continued Examination (RCE) under 37 C.F.R. §1.114.

5. [] <u>Information to be Considered with CPA Filing</u>. This information disclosure statement is being filed with a Continued Prosecution Application (CPA) filed under 37 CFR 1.53(d).

6. [] 37 C.F.R. §1.97(c) with fee payment - (use after 1st Office Action & before
Final Office Action or Notice of Allowance)
This information disclosure statement is being submitted under 37 C.F.R. §1.97(c)
Applicant(s) have not received a final action under 37 C.F.R. §1.113, a notice of allowance under
37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (e.g., Ex parte
Quayle) as of the date of this submission. Applicant(s) elect to pay the fee set forth in 37 C.F.R
§1.17(p). Please charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account Number 16-
2480 in the name of The Procter & Gamble Company. A duplicate copy of this letter (or a fee

### ADDITIONAL ITEMS TO BE NOTED BY THE EXAMINER:

transmittal form) is enclosed to facilitate the charging of the fee.

(1) Copies of the cited references were previously cited by or submitted to the USPTO
in prior application Case No, U.S. Patent Application Serial No, filed Applicants
claim priority to said application under 35 U.S.C. §120. Accordingly, copies of those documents
are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d).
OR
$\begin{bmatrix} \mathbf{X} \end{bmatrix}$ (2) Copies of the cited documents are enclosed.
OR
[] (3) Copies of all said documents, except document No.'s, were submitted
and considered in parent application U.S. Patent Application Serial No, filed
. Applicant(s) claim priority to said application under 35 U.S.C. §120. Accordingly,
copies of document No.'s are not provided with this Statement, pursuant
to 37 C.F.R. §1.98(d). Copies of document No.'s are enclosed. It is
respectfully requested that the cited documents be carefully considered by the Examiner and
made of record in this case.
[] (4) Pursuant to 37 C.F.R. §1.98(c), a concise explanation of the relevance of each cited
reference that is not in the English language is provided.
[] (5) Applicants also respectfully request the Examiner to consider and make of record
the copending applications listed on the attached page.

Additional information is attached.

Respectfully submitted.

FOR: KAZUYUKI OHNISHI, et al.

mathea Fryschross
atthew P. Fitznatrick Matthew P. Fitzpatrick

Attorney for Applicant(s)

Registration No. 41,751 (513) 626-4287

Date:  $\frac{7}{30}/02$ Customer No. 27752

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(Last Revised 5-21-02)

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SHEET

1 of 1

PTO SB08A (10-01)

Approved for use through 10.31 2002 OMB 0651-0031

Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

nder the Paperwell Reduction Act of 1995, no persons are required to respond 194DEMARY Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

COMPL	ETE IF KNOWN
Application Number	10/148,761
Confirmation Number	
Filing Date	May 31, 2002
First Named Inventor	Kazuyuki Ohnishi, et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	AA445

### **U. S. PATENT DOCUMENTS**

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	US-5,782,819	7/21/98	Tanzer, et al.	DEOF
•	2	US-4,741,941	5/3/88	Englebert, et al.	MEUEIVED
		US-			
1		US-			001 03 2002
7		US-			
		US-			ECH CENTER 1600/290
		US-			FOLL OF MIEW 1000/5801
		US-			

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No.1	FOREIGN PATENT DO  Country Code <sup>3</sup> Number <sup>4</sup>	CUMENT  Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>
	3	EP 0 858 791 A		8/19/98	Kameo, et al.		
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### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
EXAMINE	R	DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office. Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents. Washington, D.C. 20231

Date: July 29, 2002 Page 1 of 1

ATTY, DOCKET NO. APPLICATION NO. Form PTO-1449 U.S. DEPARTMENT OF COMMERCE SW-046XX 10/019,816 (REV. 07/01) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) APPLICANT: Michael Valentine Agrez et al. FILING DATE GROUP March 27, 2002 Unassigned U.S. PATENT DOCUMENTS **CLASS SUBCLASS** FILING DATE IF **EXAMINER** DOCUMENT NUMBER DATE NAME APPROPRIATE\* INITIAL FOREIGN PATENT DOCUMENTS DATE COUNTRY CLASS **SUBCLASS TRANSLATION** DOCUMENT NUMBER YES NO WO 92/12236 23/7/92 OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) Chen et al., "Distinct Structural Requirements for Interaction of the Integrins α5β1, ανβ5, and ανβ6 with the Central Cell Binding Domain in Fibronectin", Cell Adhesion and Communication, vol. 4, nos. 4-5, pp. 237-250 (1996). Cone et al., "Effects of \( \beta \) Subunit Cytoplasmic Domain Deletions on the Recruitment of the Integrin \( \alpha \nabla \beta \) to Focal Contacts", Cell Adhesion and Communication, vol. 2, pp. 101-113 (1994). Coppolino et al., "Bi-directional signal transduction by integrin receptors," The International Journal of Biochemistry & Cell Biology, vol. 32, pp. 171-188 (2000). Dixit et al., "Identification of a Sequence within the Integrin β6 Subunit Cytoplasmic Domain That Is Required to Support the Specific Effect of ανβ6 on Proliferation in Three-Dimensional Culture", J. Biol. Chem., vol. 271, no. 42, pp. 25976-25980 (1996). Gotoh et al., "Cross-Linking of Integrins Induces Tyrosine Phosphorylation of the Proto-Oncogene Product Vav and the Protein Tyrosine Kinase Syk in Human Factor-dependent Myeloid Cells", Cell Growth and Differentiation, vol. 8, pp. 721-Lin et al., "Integrin-mediated Activation of MAP Kinase Is Independent of FAK: Evidence for Dual Integrin Signaling Pathways in Fibroblasts", J. Cell. Biol., vol. 136, no. 6, (1997). Sheppard et al., "Complete Amino Acid Sequence of a Novel Integrin  $\beta$  Subunit ( $\beta_0$ ) Identified in Epithelial Cells Using the Polymerase Chain Reaction", J. Biol. Chem., vol. 265, no. 20, pp. 11502-11507 (1990). Yokosaki et al., "Differential Effects of the Integrins αθβ, ανβ, and ανβ6 on Cell Proliferative Responses to Tenascin: Roles of the β Subunit Extracellular and Cytoplasmic Domains," J. Biol. Chem., vol. 271, no. 39, pp. 24144-24150, (1996). DATE CONSIDERED **EXAMINER** 

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and

not considered. Include copy of this form with next communication to applicant.